



Document title	Existing measures lists
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Agenda Item	3 – Progress of topic teams and HELCOM ACTION project
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Reference	

Background

A fundamental step in the analysis of sufficiency of measures is to gather information on existing measures that can contribute to improving the state of the Baltic Sea and their implementation status, corresponding to Step 1 in the approach for the SOM analyses agreed at HOD 56-2019 (document 2-3 to HOD 56-2019).

The HELCOM Secretariat has compiled initial lists of measures with support of the ACTION project and the Topic Teams that have been established under the SOM Platform. The lists cover inter alia global and regional conventions, EU directives and regulations, regional HELCOM actions and national measures. The measure lists are available on the [SOM Platform workspace](#) in the form they were distributed to the Contracting Parties or in a consolidated file available as document 3-1-Att. 1.

The lists were distributed to national contact points for the SOM Platform in mid-July with a request to check and complement the lists by 15 September 2019. This document contains the background information that was associated to the request, including a clarification on the selection of measures.

Action requested

The Meeting is invited to take note of the information.

Instructions and background information circulated to the Contracting Parties with the request to complement the SOM measures lists

Background information to the request to review lists of measures for SOM analyses

To support the update of the Baltic Sea Action Plan (BSAP) an analysis of sufficiency of existing measures to reach good environmental status is carried out according to the agreement by HOD 55-2018 ([Outcome, para 3.23](#)). A fundamental step in the analysis is to gather information on existing measures that can contribute to improving the state of the Baltic Sea and their implementation status, corresponding to Step 1 in the approach for the SOM analyses agreed at HOD 56-2019 ([document 2-3](#)).

The HELCOM Secretariat has compiled initial lists of measures with support of the ACTION project and the Topic Teams that have been established under the SOM Platform. The lists cover inter alia global and regional conventions, EU directives and regulations, regional HELCOM actions and national measures. **Contracting Parties are requested to check and amend the lists as specified below by 15 September 2019 or 15 October 2019 depending on topic** (see table at end of document). The measures will in the next step be grouped and used as a basis for evaluating the effect of existing measures (Step 4 of the SOM approach). General background documentation on the update of the BSAP can be found at the [HELCOM website](#).

Selection of measures

Measures having a concrete effect on the environment were selected from all identified potential measures. Included in the measure lists are administrative measures (e.g. controlling or regulatory measures), economic instruments, technical measures, and information and awareness raising measures. This definition then excludes e.g. measures concerning scientific development, knowledge and data gathering, information systems/tools, monitoring, coordination, and target setting. Measures have not been excluded from the list based on year of implementation at this time. However, only measures having an unrealized impact on the environment after the base date of 1.1.2016 will be included in the analysis¹.

Topics:

Measures have only been selected for topics that have been agreed to be covered by the SOM analysis. Analyzed topics have been selected based on recommendations from the SOM Platform and collaboration with the SOM Topic Teams. However, it is recognized that other pressures exist on the Baltic Sea and that that this effort is not exhaustive in this regard.

Global and Regional conventions, EU legislation:

Multi-national measures frameworks were identified with the support of Topic Teams and from HELCOM materials and internet searches. From the identified potential frameworks of interest, only frameworks outlining explicit measures are included in the prepared list. Notable exclusions in this regard are the Marine Strategy Framework Directive, Water Framework Directive, Waste Framework Directive, and Convention on the Conservation of Migratory Species of Wild Animals. From the text of these and similar policy frameworks, concrete actions cannot be determined. Instead such frameworks lay out guidelines or other structures by which national measures or supplementary agreements can be created. Such measures

¹ Listed measures fully implemented before this date will be included in the analysis if a time-lag is estimated to exist that would extend the measure's impact beyond the base date. This is most relevant to the biodiversity topics where populations will continue to recover years after some types of measures are implemented. Measures that have been fully implemented by the base date and do not have a time-lag extending their impact beyond that date will still provide context to the regulatory environment that exists around a topic. The exception is measures that were no longer in force by the base date; such measures have not been included in the lists.

are therefore largely handled through identification of relevant national measures by either the Secretariat (MSFD & aspects of WFD) or Contracting Parties.

HELCOM recommendations and actions from BSAP and Ministerial Declarations:

The list of measures for the SOM analysis has been selected from the reporting on HELCOM recommendations that has taken place in spring 2019. The reporting is not completed yet and the file will be updated when completed. HELCOM actions from the Baltic Sea Action Plan and Ministerial Declarations 2010 and 2013 have been selected from those actions that are regularly reported and included in the HELCOM Explorer, last updated for the Ministerial Declaration 2018. When actions from the BSAP and Ministerial Declaration overlaps with HELCOM recommendation the latest reported on the Recommendations have been used.

National measures:

National measures have been identified for all topics from national reporting to the European Commission on 1st PoMs of the MSFD and EU consultant reports of the same. For hazardous substances, national lists also include WFD measures identified in the EUSBSR project *Study on measures applied in the EU water framework directive programmes of measures of EU Baltic Sea Region countries for hazardous substances* when measures have been linked to specific analyzed substances (TBT, Mercury, PFAS, PCBs, Diclofenac, PBDEs, Dioxins). However, this list is not assumed to be complete. It is anticipated that additional national measures exist for all countries on most topics.

Request to countries

Each file contains 3 sheets for which the following is needed:

- 1) **HELCOM** – HELCOM Recommendations and actions
The lists are provided for information only; reporting takes place through other procedures and no action is required.
- 2) **Multi-national** – Global conventions, Regional conventions, and EU directives requiring minimal national input
These are measures which are organized in such a way that by simply acknowledging implementation the outcome of the measure can be fully understood. Additional information is appreciated, particularly if national efforts noticeably exceed requirements stipulated in the measure description, but not crucial.
- 3) **National** – National measures
These are multi-national frameworks requiring more thorough national reporting, national measures identified by the Secretariat, and national measures to be added by Contracting Parties. However, for measures identified by the Secretariat, measure details sufficient for analysis are frequently lacking and national input is appreciated in these cases. Reporting or supplementing measure descriptions that allow for an understanding of the measures' content is a critical component of this activity. Items to consider reporting where relevant and available include: e.g. measure's scope (spatial and temporal extent, and subject matter), planned financial investment (awareness measures), and projected impact.

Further details on type of information to fill in is available in the attached excel-files.

Requested response dates

Below find the requested response dates by topic. If possible, please return topic responses as they are finished rather than in one bulk response. Finally, please be aware that a workshop to evaluate marine mammal issues has been scheduled for 26-27 September and therefore responding by the requested date is particularly appreciated. Topics of interest to that workshop are Marine mammal bycatch, Marine mammal hunting, Spatial protections, Species specific issues (mammals), and Disturbance

Topic	Requested date
Marine mammal bycatch	15.9.2019
Marine mammal hunting	15.9.2019
Spatial Protections	15.9.2019
Species specific issues (mammals & waterbirds)	15.9.2019
Disturbance of species	15.9.2019
Waterbird bycatch	15.9.2019
Waterbird hunting	15.9.2019
Impacts & obstructions	15.9.2019
Litter	15.9.2019
Introduction of NIS	15.9.2019
Diclofenac	15.9.2019
Mercury	15.9.2019
PFAS	15.9.2019
TBT	15.9.2019
Seabed loss & disturbance	15.10.2019
Fishing	15.10.2019
Species specific issues (fish)	15.10.2019
Underwater noise	15.10.2019
PBDEs	15.10.2019
PCBs	15.10.2019
Dioxins	15.10.2019