

Guidance for implementation of criteria for evaluation of new actions at BSAP UP workshops

Document 3-1



Background

- Criteria developed by SOM Platform and endorsed by GEAR
- Main focus will be on the evaluation of proposed new actions with the potential to reduce pressures or improve the state of the environment, i.e. those actions that have a measurable effect in the marine environment (measures).
- For those proposed actions that are categorized as measures, full evaluation criteria will be utilized and a qualitative summary will be developed.
- For the other proposed actions, the evaluation will be limited to the qualitative summary, including supporting reflections and justifications.



1) Does the measure address relevant pressure and activities identified in the SOM analysis?

- Prefilled
- Workshop to validate



2) What is the effectiveness of a measure in relation to target / objective?

- The positive environmental effect on the pressure/state component primarily targeted by a measure (*High-Moderate-Low-Not assessed*)
- Origin of information: synopses, document “Estimates of the effectiveness of proposed new measures”, expertise of the participants
- Following aspects could be considered:
 - extent/intensity of application, e.g. actors/actor groups targeted, proportion of areas targeted
 - geographical scale of application, e.g. local, basin-scale, entire Baltic Sea region
 - environmental effectiveness (to reduce a pressure or improve state)



3) Does the measure have an effect on or is it affected by climate change?

- Evaluate if:
 - (i) the proposed measure is likely to have a positive effect on climate change mitigation/adaptation (Positive/No impact/Not assessed),
 - (ii) climate change could have an impact on reducing the effectiveness of the proposed measure (Yes/No).
- Climate change aspects should be described in the evaluation only if they are considered relevant/significant.



4) Comments on positive or negative environmental side effects

- Could be provided for those measures for which they are considered relevant
- Side effects should be assessed separately from the effectiveness of the measure in relation to the primary pressure/state component it targets



Qualitative summary 1/2

- For both measures and actions in other categories
- Supported/not supported for the updated BSAP (vote)
- Prioritized/not prioritized (vote)
- Measures:
 - short justification for why it is supported/prioritized, considering all the evaluation criteria, potential complementing information provided at the workshop, as well as the results of the technical review and other prior analyses.



Qualitative summary 2/2

- Other actions:
 - relevant reflections on the action and with short justification why the action is supported/prioritized or not, based on the potential complementing information provided at the workshop, as well as the results of the technical review and other prior analyses.
- If not supported but could be considered with alterations:
 - a short description on how to address the shortcomings of the synopsis and modify it to a suitable action could be included in the summary.



From technical review by Working Groups					BSAP UP workshops, application of criteria					
Category	New/ Existing actions	Substantiation of action	Technical feasibility	Gaps in proposal	Addressing SOM gaps	Effectiveness of measure	Mitigation of climate change impacts	Impact of climate change on effectiveness of measure	Positive/negative environmental side effects	Qualitative summary
Measure, Step towards measure, Research, Monitoring/Data/Assessment, Knowledge sharing	New action/ Partly covered by existing action/ Entirely covered by existing action	Low/ Medium/ High	Low/ Medium/ High	Yes/No	Selecting from Drop-Down-list with SOM gaps; Yes/No/Not applicable	Descriptive (and quantitative if available) information; High/ Moderate/ Low/ Not assessed	Descriptive (and quantitative if available) information explaining the impacts (where relevant); Impacts on climate change mitigation/adaptation Positive/ No impact/ Not assessed	Descriptive (and quantitative if available) information explaining the impacts (where relevant); Could climate change have an impact reducing the effectiveness of a measure Yes / No	<u>Where identified relevant</u> Descriptive (and quantitative if available) information	Descriptive Supported/ Not supported Prioritized /Not prioritized

1. Ban on import and sale of metallic lead in fishing equipment

Technical review	Addressing SOM gaps	Effectiveness of measure	Mitigation of climate change impacts	Impact of climate change on the effectiveness of measure	Positive/negative environmental side effects	Qualitative summary	ADD COLUMN
Categorization Measure Add comment	Yes Add comment	High-low-moderate-not assessed 1 comment HELCOM 2d Pre-survey: 9 moderate, 2 low, 2 don't know Add comment	Positive-no impact-not assessed 1 comment HELCOM 2d Pre-survey: 8 No, 5 don't know/no information Add comment	Yes-No 1 comment HELCOM 2d Pre-survey: 9 No, 4 don't know/ no information Add comment	Pre-survey: 1 comment HELCOM 2d 4 positive, 1 both, 2 no side effects, 6 don't know Add comment	Supported/Not supported 2 comments HELCOM 2d Pre-survey: 9 yes, 2 no, 2 don't know HELCOM 2d Pre-survey: "EU restrictions on the use of lead would be preferable, but could be included in the updated BSAP?" Add comment	
Coverage by existing HELCOM actions New measure Add comment						Prioritized/Not prioritized 1 comment HELCOM 2d Pre-survey: 1 yes, 6 no, 6 don't know Add comment	
Substantiation FISH: Medium PRESSURE: High Add comment							
Technical feasibility Medium Add comment							
Gaps FISH: Yes PRESSURE: No Add comment							



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7. Strengthening the existing HELCOM recommendations for industrial releases of hazardous substances by utilizing existing information produced under the EU Industrial Emissions Directive

Technical review	Addressing SOM gaps	Qualitative summary	ADD COLUMN
Categorization Steps toward measure Add comment	Yes Add comment	Supported/Not supported Add comment	
Coverage by existing HELCOM actions Partly covered by existing action Add comment	+	Prioritized/Not prioritized Add comment	
Substantiation			